Checklist for Change of Stock Interest

- □ Go to the Alcoholic Beverage Control Commission's (ABCC) Website: <u>https://www.mass.gov/alcoholic-beverages-retail-licenses</u> and select "Amend a Retail License"
- □ Select the appropriate amendment to the license, complete the application and gather the required documents. The application must be typed and saved as a PDF file. If you have any application questions call the ABCC 617-727-3040.
- Pay the filing fee to the Alcoholic Beverages Control Commission. This must be done online in their <u>payment website</u>. Proof of payment will be required.
- Submit the completed ABCC application and required documents in our online system: <u>https://newtonma.viewpointcloud.com</u>, go to Board of License Commissioners and select "Change to an Existing License Application (current alcohol license holders)".
- □ This application requires a Legal Ad notice
 - Newspaper Notice MUST be made at least 10 days prior to the scheduled hearing

List of required documents for a Change of Stock Interest Application:

- □ Vote of Entity Board
- Monetary Transmittal Form
- □ DOR Certificate of Good Standing
- DUA Certificate of Compliance
- □ Business Structure Documents
 - If Sole Proprietor, Business Certificate
 - If Partnership, **Partnership Agreement**
 - If corporation or LLC, **Articles of Organization** from the Secretary of the Commonwealth
- □ Supporting Financial Records for transactions costing \$50,000 or more
- □ CORI Authorization Form for proposed manager of record. **Must be notarized with** a stamp or raised seal.
- Purchase and Sale Agreement